



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/623,129	07/18/2003	Stuart Bunting	P0891C7

09157  
 GENENTECH, INC.  
 1 DNA WAY  
 SOUTH SAN FRANCISCO, CA 94080

CONFIRMATION NO. 4492

## FORMALITIES LETTER



\*OC000000011075198\*

Date Mailed: 10/22/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

T. Ketsela

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

**Effective OCTOBER 1, 2003.** Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

**EXAMPLES OF FEES BEING ADJUSTED**

See [www.uspto.gov](http://www.uspto.gov) for complete FY 2003  
and FY-2004 Fee Schedules

\* Indicates  
fees remain  
at FY 2003  
amount

DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee	\$330	\$340
Design filing fee (Small Entity)	\$165	\$170
Plant filing fee	\$520	\$530
Plant filing fee (Small Entity)	\$260	\$265
Reissue filing fee	\$750	\$770
Reissue filing fee (Small Entity)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$160	\$160*
Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month	\$1,450	\$1,480
Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month	\$1,970	\$2,010
Extension - Fifth month (Small Entity)	\$985	\$1,005
Petition	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call

(703 308-HELP)